



PROTOCOLS
for the establishment of
the Initial Contact
with Extraterrestrials
already present on Planet Earth

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Contact with Extraterrestrials Think-Tank Italy



The knowledge that life existed in other parts of the universe might lead to a greater unity of men on earth, based on the oneness of man or on the age-old assumption that any stranger is threatening. Much would depend on what, if anything, was communicated between man and the other beings...¹

¹ Proposed Studies on the Implications of Peaceful Space Activities for Human Affairs, THE BROOKINGS INSTITUTION - Final Report Washington, D.C., December 1960



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Part 1 - References

1. Complete title of this document:

Protocols for the establishment of the Initial Contact with Extraterrestrials already present on Planet Earth.

2. Concise title:

Protocols for the Initial Contact with Extraterrestrials.

3. Informal title

The CUN's Contact Protocols

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Part 2 - Definitions

«**Acceptation message**» *The message that the Invited Extraterrestrials (see) would send to accept the invitation to the Contact broadcast with the Invitation Message (see).*

«**Acknowledgement message**» (Also in the shortened form **Ack Message**) *The message to be transmitted to the Invited Extraterrestrials (see) to acknowledge the reception of their positive answer to the Invitation Message (see).*

«**Communication Campaign**» *see Informative Campaign.*

«**Contact**» *The establishment of any form of conscious interactive communication, either indirect (as via radio communications) or direct (that is, person to person) between intelligent and self-conscious beings of any origin, where each party acknowledges the other and a meaningful flow of information ensues.*

«**Contact Process** » *The process that should lead to the establishment of the first contact with Extraterrestrial (see) that could already be present on planet Earth. It is the process that this document wishes to facilitate.*

«**Dialogue Process** » *The interactive phase that should follow the moment of the Initial Contact, the process where information is exchanged between the Invited Extraterrestrials (see) and the terrestrial humanity (see).*

« **Extraterrestrial or Extraterrestrial being**» *Any individual biological being whose origin can be traced to any place outside Planet Earth who can think and act consciously.*

« **Extraterrestrial Civilization**» *Any civilization composed of individual beings as defined above, the origin of which can be traced to any place outside Planet Earth.*

«**Extraterrestrial Humanity**» *Any organized society composed by biological beings having the general human shape, originating from any place in the universe outside of planet Earth.*

«**Humanity** » *Any organized society composed by biological beings having the general human shape.*



« **Informative Campaign** » (Also in the shortened form **InfoCampaign**) or « **Communication Campaign** » *A very wide scale communication campaign to be implemented well ahead of the start of the Contact Process itself, meant to inform the general public of the Process and of its intended outcome.*

« **Initial Contact** » *The very first contact between the terrestrial humanity (see) and other civilizations; it is the event which this document wishes to facilitate.*

« **Invitation Message** » (Also in the shortened form **InvMessage**) *The message to be broadcast in order to invite the Extraterrestrials (see) that could be present on Planet Earth to come forward for the establishment of an open and official contact.*

« **Invited Extraterrestrials** » *Any member of any Extraterrestrials Humanity (see) who has been invited by any terrestrial body/organization to make an official and open contact with the Terrestrial Humanity (see).*

« **Terrestrial Humanity** » *The humanity (see) that inhabits the planet Earth (the species Homo Sapiens Sapiens).*

« **UAP** » (from Unidentified Atmospheric Phenomena) *Any atmospheric phenomenon that cannot be identified; in most cases its meaning overlaps with that of the term UFO (see).*

« **UFO** » (from Unidentified Flying Objects) *A mysterious object seen in the sky for which it is claimed no orthodox scientific explanation can be found, often supposed to be a vehicle carrying extraterrestrials. (from The Oxford Dictionary online at <http://www.oxforddictionaries.com/definition/english/UFO>)*



Part 3 - Preamble

1. *Considering that:*

- a) *many ancient texts, religious and not, contain descriptions of past events that can nowadays be easily interpreted as contacts and interactions with extraterrestrial beings visiting planet Earth;*
- b) *the possibility of the existence of other civilizations, including those far superior than our own, is nowadays increasingly accepted by science on multiple basis (astronomical, biological and probabilistic);*
- c) *numerous clerics and scholars of different religions (Christian, Hebraic, Muslim, Hindu and Buddhist) have explicitly declared that the existence of other intelligent beings in the universe is not contrary to the basics of their respective religions;*
- d) *since several decades a very high number of well documented, good quality observation reports of anomalous atmospheric phenomena from all over the world have accumulated and still keep on accumulating;*
- e) *of these anomalous atmospheric phenomena, a consistent and persistent portion manifests unusual characteristics. Even though such characteristics cannot find their place in the context of the contemporary scientific knowledge, they nonetheless suggest an association with intelligence, and manifest the general aspect and behaviour of technologically produced and intelligently controlled devices;*
- f) *the multiple technological aspects of these phenomena, recurrently observed during several decades, along with significant recurrences in the categories of physical effects observed, all point to the possibility that manned vessels of extraterrestrial origin have reached and are visiting planet Earth;*
- g) *the numerous reports of “close encounters of the third kind”, in a good number of cases with physical effects and evidences, all point to the real possibility that manned vessels of extraterrestrial origin have reached and are visiting planet Earth;*
- h) *the modus operandi of the intelligences that could possibly be behind the UFO/UAP phenomenon appears invariably evasive and oriented toward elusion instead of the establishment of any kind of open contact;*



i) *since the beginning of the UFO/UAP phenomenon in contemporary times (conventionally, the year 1947), the subject has almost invariably remained under the strict control of the military authorities and of the intelligence communities of the many countries involved.*

Keeping the whole matter continuously hidden from the public opinion and operating under a high degree of secrecy, their attitude has always been focused on, first, determining if the phenomenon posed any national security threat and, second, trying to acquire as much technological information as possible to be used for military supremacy purposes;

j) *as repeatedly affirmed by the military authorities of all the countries involved, in the course of the almost seven decades of contemporary history of the phenomenon, its behaviour has never manifested any characteristic that could be interpreted as a sign of aggressiveness;*

k) *if extraterrestrial civilizations indeed exist and their members have already reached our planet, their scientific knowledge, technological capacities, and very likely their moral development, must necessarily vary from highly to enormously superior than ours;*

l) *if extraterrestrial civilizations indeed exist and their members have already reached our planet, their intent must be pacific, as their far superior capacities would have allowed them to annihilate the whole civilization on this planet since long;*

m) *in the course of the whole story of mankind, the contact between societies with different level of development have always been unfavourable to the less developed one;*

n) *at the time being no private/international organization or state has ever engaged into any operation meant to contact these probable visitors on this planet.*



2. We strongly believe that:

- a) *the probability that members of non-terrestrial civilizations have visited and are currently present on Earth is high;*
- b) *the benefits for the whole humanity of planet Earth in case of an open, official and responsibly managed contact with extraterrestrial civilizations would be of invaluable importance;*
- c) *each and every aspect connected with the entire subject of UFOs/Extraterrestrials, the efforts to try to establish a contact with Them and the management of the relationships that could ensue in case of a positive outcome, should exclusively be dealt with and managed by civil authorities and organizations.*

3. Therefore:

the Centro Ufologico Nazionale (C.U.N.) of Italy and the C.E.T.I. (Contact with Extraterrestrials Think-tank Italy) have taken the initiative to formulate specific protocols meant to facilitate the achievement of the initial, open, official and friendly contact with Extraterrestrial Civilizations that could be present on planet Earth and make it freely available to everyone who would use them.



Part 4 - General

1. Purpose of this document

The purpose of this document is to define a set of guidelines (“protocols”) that can be of help in the process of facilitating the establishment of the initial, open, friendly and official contact with members of Extraterrestrial Civilization (“Initial Contact”).

2. The kind of Extraterrestrials that should be contacted

The Extraterrestrials that this document aims to facilitate the contact with are exclusively those who could already be present on planet Earth.

3. The kind of contact

Considering the extremely deep repercussions of the Contact with eso-civilizations and the high level of cultural shock risk, the Contact that this document wishes to facilitate is, at least in the initial phase, of the distant kind.

4. Purpose of the contact

The ultimate purpose of the Contact that the present document wishes to facilitate is to promote the meeting with other intelligent life forms, in order to establish advantageous and mutually enriching friendship relations for the highest good of the terrestrial humanity.

5. General considerations

Trying to establish a contact with Extraterrestrials is a very delicate, multi-faceted and complex activity which repercussions would surely extend to and deeply influence the terrestrial humanity. Any event and/or activity aimed at the establishment of the Contact should therefore be very carefully planned, always keeping in due consideration such relevance. Also, considering that “The discovery of alien life forms is not necessarily a process under our control and could take a totally unexpected form and turn”³, and that “It should not be taken for granted that intelligent forms of extraterrestrial life would be readily identified by humans”⁴, an open, unbiased and flexible

^{3,4} Philippe Ailleris, “UFOs and Exogenous Intelligence Encounters” in ESPI Perspectives no.43 - www.espi.or.at



attitude is a highly recommended asset that should be maintained during the whole Contact Process to be able to face the many unknown factors that it could present.

6. Overview of the process leading to the Initial Contact

The process that should lead to the achievement of the initial, open and official contact with an extraterrestrial civilization should cautiously develop itself along a certain number of phases.

These phases are:

- a. the definition and signature of the initial agreement;*
- b. the definition of the general organization chart;*
- c. the definition of the road-map for the development of the Process;*
- d. the definition of the scientific committees;*
- e. the definition and implementation of an informative campaign aimed at properly inform the general public;*
- f. the definition and implementation of the appropriate changes in the legal framework to take into account the implications of the Contact;*
- g. the preparation of the Invitation Message;*
- h. the transmission of the Invitation Message;*
- i. the evaluation of the reply(s), if any;*
- j. the definition of the course of action to undertake in case no answer has been received;*
- k. the definition of the course of action to undertake in case one or more answers has or have been received but there are doubts about their genuinity and/or have not been properly understood;*
- l. the definition of the course of action to undertake in case one or more of the answers has or have been validated.*

A graphic representation of the general process can be found under the form of flowchart in Annex D.



7. Annexes to the present document

Annex A contains the protocol for the Preliminary phase of the Contact Process, that is the guidelines for the portion of the process where the organizational substrate is prepared.

Annex B contains the protocol for the Preparation phase of the Contact Process, that is the guidelines for the portion of the process where the activities that will lead to the Initial Contact are prepared.

Annex C contains the protocol for the Operative phase of the Contact Process, that is the guidelines for the portion of the process where the activities that should lead to the Initial Contact are put into practice.

Annex D contains the general workflow of the Contact Process.

8. Intended recipients of this document

This document and the Protocols described herein are specifically addressed to those bodies belonging to the following categories:

- a. International Organizations;*
- b. State-run, either directly or indirectly, organizations and/or agencies;*
- c. Private organizations;*
- d. Any combination of the above.*

Considering the complexity of the whole operation and the extremely deep impact on the terrestrial humanity as a whole of such contact, the ideal candidate for this process is an international organization, or a grouping of subjects where one or more international organizations plays, or play, the main role; this because of the intrinsically inter-national nature of such organizations.



9. Main requirements

Whoever the actor(s), in order to maximize the chances of a positive outcome such an activity should be:

- a. very carefully planned, taking into due consideration the very high number of interconnected factors that may influence the process and its enormous impact on the terrestrial humanity;*
- b. very cautiously developed through constant monitoring, always taking in due consideration the possible danger of cultural shock;*
- c. undertaken by bodies/organizations that can count on enough resources, both of the material and of the intellectual kind;*
- d. exclusively undertaken by civil bodies/organizations, being both finalities and modus operandi of military/intelligence organizations antithetically incompatible with the purpose of this Process;*
- e. fully open in nature towards the public;*
- f. undertaken on the base of a totally unconditioned pacific intent;*
- g. undertaken for the highest good of the terrestrial humanity as a whole;*
- h. undertaken on the base of a non-human-centric attitude;*
- i. based on a fair, honest and pure wish to develop friendly, lasting and mutually enriching relationships where both parts have something to learn from the other, irrespective of their level of development.*

10. Further developments

The C.E.T.I. and the Centro Ufologico Nazionale reserve the right to formulate and make publicly available further protocols covering the phases following the Initial Contact.



Annex A - The Preliminary Phase

1. Preamble

The Preliminary Phase (steps 1 to 4 in the Annex D flowchart) is the part of the process where the bases for the development of the Contact Process are laid.

The nature of this phase is essentially organizational, and includes the activities that are necessary to implement a proper organizational framework for the Contact Process to be developed.

Given the relevance, complexity and ramifications of the whole Contact Process, the actor(s) will exercise a great care in this preliminary phase, in order to properly set up from the very beginning an effective framework for the correct and seamless implementation of the Contact Process.

2. List of the preliminary activities

In this phase, the actor(s) of the Contact Process will take care to put into practice the operations described in the following list:

- a. the definition and signature of the initial agreement between the involved parties;*
- b. the definition of the general organizational chart;*
- c. the definition of the general road map of the Process;*
- d. the definition of the scientific panels.*

3. Time line

The actor(s) of the Contact Process will take care to implement the activities as listed above and in that order.

Even though the order listed above is important and should be properly respected, a general attitude of flexibility is highly recommended, as an important asset to face the potential unknown factors that such an operation might present.

Annex B - The Preparation Phase

1. Preamble

The Preparation Phase (steps 5 to 9 in the Annex D flowchart) is the part of the process where the activities meant to prepare the actual operative phase are enacted within the framework of the general road map defined in the Preliminary Phase.

The activities of this phase belong to three distinct threads of activity, nominally in the communication, legal and scientific domains.

2. List of the preparation activities

The detailed list of the activities to be implemented in this Phase is the following:

a. Activities in the domain of communication

This sector of activity includes all that is necessary to implement a wide range communication project meant to inform the population of the world about the Contact Process:

The actor(s) of the Contact Process will exercise the greatest care to carefully implement a very wide scale communication process meant to properly inform the population of the world about the purpose and aim of the Contact Process, in order to minimize the risk of cultural shock and prepare the best possible general attitude towards the Contact.

The same care will be applied by the actor(s) in order to implement a correct synergy with the mass media organizations.

In particular, a great care should be exercised in order to correctly sensitize the Medias about the great importance and repercussions of the Contact Project and about the importance of a correct and responsible information, specifically avoiding any sensationalism, improper bias and in general any undue deformation of the information.

In the framework of this operation, the factive cooperation of religious and spiritual leaders of any cult is a very important asset and should be actively sought for.



Considering the very likely long period of time that will be necessary for the desired outcome of this communication campaign to be achieved, this will be the very first operation to be started, once the composition of the various scientific panels has been defined.

This information campaign will make use of the highest possible number of channels and communication systems in order to reach the highest percentage of the world population.

In the course of this campaign, a significant emphasis will be placed upon the open and official nature of the Process.

Such character of openness will not be limited to this Phase but will remain constant all along the whole Contact Process and will extend further into the Dialogue process

b. Activities in the domain of legislation

This sector of activity includes all that is necessary to define and implement a proper juridical framework for the Contact and the Dialogue Process to develop:

The state or the states to which the actor(s) belong will include in their legislations a norm or a body of norms that recognize

- 1. the possible existence of intelligent beings and civilizations in the Universe;*
- 2. any of these civilizations and their members as full subjects of right.*

The same will be done, as applicable, by any other body/organization participating in the Contact Process, in order to implement a homogeneous and suitable legal framework for all the actors.

c. Activities in the scientific domain

This sector of activity includes all that is necessary to define the contents of the Invitation Message, to transmit it, to wait for and validate the possible answer(s) and the lines of action for the possible scenarios following the transmission of the Invitation Message:

1. The formulation of the Invitation Message

Such message will be composed by at least the following parts:



- a. *a clear and explicit declaration of pacific intent from the inviting party;*
- b. *the invitation to come forward in peace and friendship with the purpose to set up open, official and lasting relationships;*
- c. *the indication of the system(s) to be used by the Invited Extraterrestrials to convey the positive answer (Acceptation Message).*

To increase the possibility of being correctly understood, the languages used for this message should preferably be chosen among the more widely spoken languages in the world, like English, Spanish and Chinese.

In case radio waves or optical beams are used as carriers and digital modulation systems are used, or in the case of written messages, the body of the message could contain concepts partially or totally expressed in the form of mathematical notations, as it has been done in the so-called “Arecibo message”, transmitted in 1974.

In addition, to minimize the possibility of receiving fake acceptance messages, it should explicitly be requested that the acceptance message be multi-part, and/or multi-system and/or cross-system.

Considering that the Invited Extraterrestrials could very likely possess technical capabilities that far exceed those of the Homo Sapiens Sapiens species, it could also be requested that the Acceptation Message be transmitted using a method, or methods, that are impossible for the terrestrial humanity to implement.

2. The modalities for the transmission of the Invitation Message

Such message should preferably be broadcast using multiple different carriers; multiple versions could then be created in order to best adapt to the specific carriers used. In any case the basic information to be conveyed should remain the same for all the versions, though.

The following is a non-exhaustive list of carriers for the transmission of this message:

- a. *radio waves of any frequency, modulated with the purpose of carrying verbal and/or text messages to be broadcast with any of the systems nowadays available;*



Each instance of the validation process will have one and only one the following outcomes:

NR – Not Received: a particular condition of the validation process where no answer has been received;

NV – Not Validated: in case the candidate answer under examination has not been validated being there no doubts whatsoever about its invalidity;

ND – Not validated with Doubts: in case it has not been possible to reach an agreement on the validity of the candidate reply under examination, but significant and motivated elements in favor of its validity persist;

NU – Not Understood: in case it has not been possible to reach an agreement on the validity of the candidate answer under examinations because of the impossibility to fully understand its meaning;

VA – Validated: in case it has been possible to reach an agreement on the validity of the candidate answer.

5. *The course of action to undertake in case no reply has been received (outcome NR), or in case of NV outcome(s)*

In such case, the actor(s) can evaluate the possibility to:

- a. repeat the whole Process with the same parameters, re-starting from step 10 in Annex D;*
- b. repeat the whole Process with any with modification they may deem necessary, re-starting from step 16 in Annex D, or*
- c. terminate the Contact Process (step 20 in Annex D).*

6. *The course of action to undertake in case of ND and/or NU outcome(s)*

In such case the actor(s) can evaluate the possibility of repeating the Invitation Message.

In case the author(s) of the Invitation Message deem it useful, its formulation could be modified in order to reduce the possibility of misinterpretations by the Invited Extraterrestrials, re-starting from step 18 of Annex D.



7. *The course of action to undertake in case of at least one VA outcome.*

In such case, the actor(s) of the Contact Process will take care to perform the actions described in the following list:

a. Transmission of the Ack Message

The Message of Acknowledgement (“Ack Message”, first section of point 15 of the general flowchart in Annex D) should be transmitted using the channel(s) specified by the Invited Extraterrestrials in their Acceptation Message.

In case of lack of such information, the Acknowledgment Message shall be transmitted using the same channel(s) used by Them to transmit the Acceptation Message.

Should this be impossible for the reasons listed in the final paragraph of point 2.c at page 17, the Ack Message should be transmitted using the same system(s) used to transmit the Invitation Message.

Such Ack Message should be formulated in order to inform the Invited Extraterrestrials of the following:

- 1. that Their reply has been received;*
- 2. that the terrestrial humanity wishes to express their gratitude for having accepted the invitation;*
- 3. the system that will be used for the following contacts.*

b. Forwarding of the official notifications

The notification of the reception of a positive reply shall be forwarded through the official channels to the government(s) of the country(ies) to which the organization(s) participating in the Contact Process belong.

c. Information to the Medias and to the public

The news of the reception of a positive answer will be issued and sent to the organizations of the mass media.

The same news will also be broadcast to the general public using the same channels/systems used for the Informative Campaign in the Preparation Phase.



3. Timeline

The actor(s) of the Contact Process will perform the activities of this Phase in the order described above.

Considering the very likely long time to be allocated for the informative campaign, and the similarly long time for its desired effects to fully manifest, such operation will be the very first to be performed in this Phase.

Even though the order listed above is important and should be properly respected, a general attitude of flexibility is highly recommended, as an important asset to face the potential unknown factors that such an operation might present.



Annex C - The Operative Phase

1. Preamble

The Operative Phase (steps from 10 on in the Annex D flowchart) is the part of the process where the procedures that have been set up in the Preparation Phase are put into practice.

The nature of this phase is essentially operational.

2. List of the operational activities

With reference to the general description given in the precedent point 1 and to the list of the preparative activities detailed in Annex B, the Operative Phase should develop itself according to the following general sequence:

- a. transmission of the Invitation Message;*
- b. waiting for any answer;*
- c. validation of any answer;*
- d. decision on the course of action to undertake in case no answer has been received or validated, or*
- e. decision on the course of action to undertake in case one or more answers have not been validated but significant doubts in favor of its validity persist and/or it has not been possible to fully understand their meaning, or*
- f. decision on the course of action to undertake in case one or more answers have been validated.*

3. Timeline

The actor(s) of the Contact Process will perform the activities of this Phase in the order indicated at point 2 above.

Even though the order listed above is important and should be properly respected, a general attitude of flexibility is highly recommended, as an important asset to face the potential unknown factors that such an operation might present.

4. Initial developments of the Dialogue Process – basic elements

a. General

Following the moment of the Initial Contact, the course of action to undertake in the development of the Dialogue Process with the Invited Extraterrestrials should be inspired by the greatest caution, where the risk of cultural shock is the factor to be monitored with the greatest care.

b. Modalities for the development of the Dialogue

Ideally, the development of the relationships with other races of intelligent beings should slowly and cautiously develop itself along the steps of a process that finds its origin in a dialogue at a distance, while continuously informing the general public and monitoring the its reactions.

Should the reactions be mainly negative, and/or should the symptoms of cultural shock manifest themselves, the dialogue should remain of the distant kind as long as necessary.

In case the reactions be of a less negative nature, the process could gradually, but always cautiously, be accelerated towards the establishment of more strict relations.

c. Modalities for the management of the Dialogue

In any case, the Dialogue Process should exclusively be managed since the beginning by one (or more) body (bodies) acting (collectively) as a buffer layer between the Invited Extraterrestrials' culture and that of the terrestrial humanity, in a constant framework of an open attitude towards the terrestrial public.

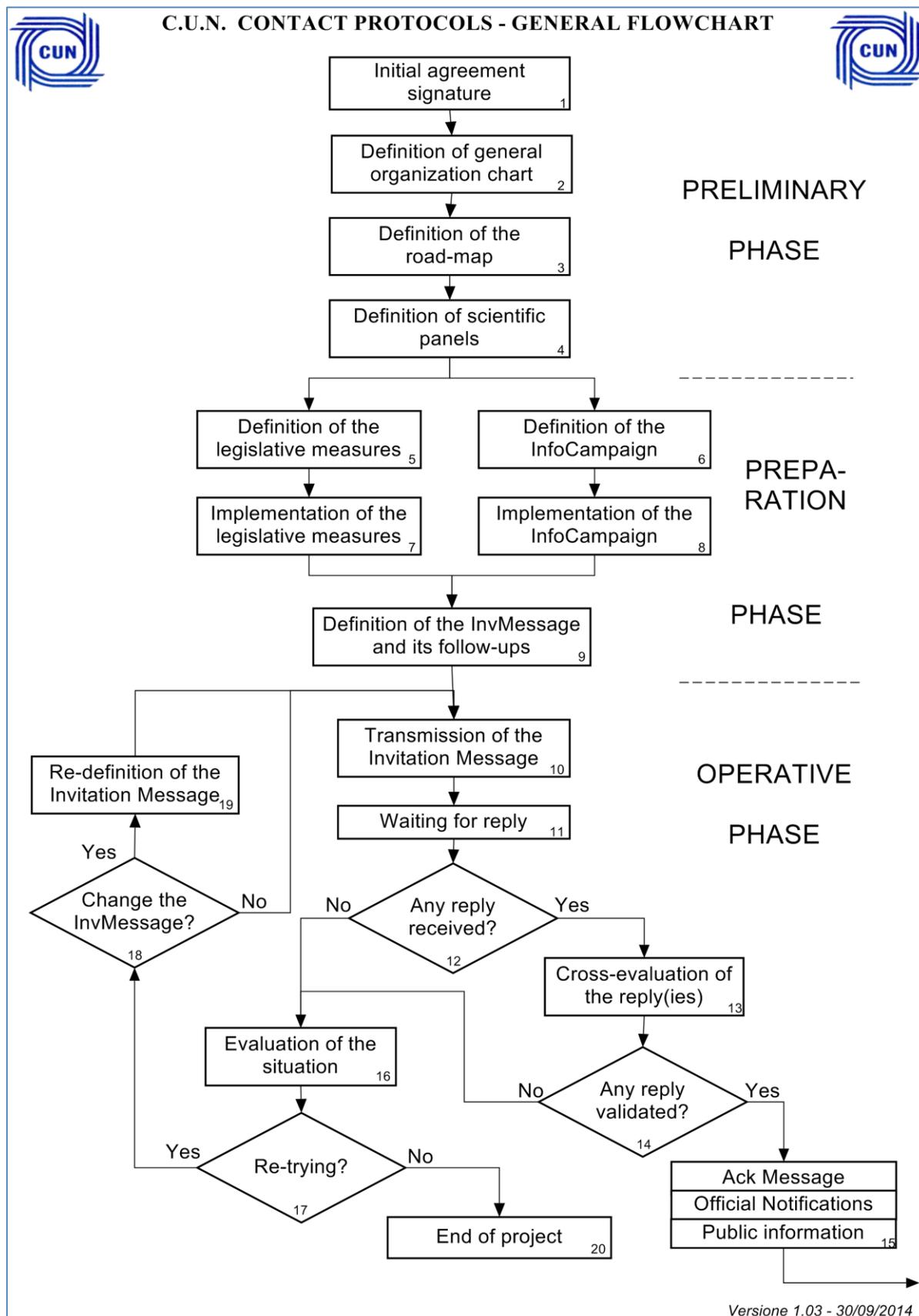
The action of such buffer layer must not in any case negatively influence or interfere with the open character of the Dialogue Process.

d. Desirable synergies

As for the Contact Process, keeping alive a factive synergy with the Medias is an essential asset in this phase, in order to provide a correct and effective information to the public, avoiding any deformation or improper alteration.

Similarly, a factive synergy with religious/spiritual leaders of any cult is an essential asset in this phase, in order to have the religious, moral and philosophic implications of the Contact properly understood, elaborated and integrated in the social framework.

Annex D - General Flowchart of the Initial Contact Process





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